PTO-1390 (Rev. 07-2005) Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

TA	ιΔι			ATTORNEY DOCKET NUMBER						
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)				BPD-100US						
		ERNING A SUBMISSION U		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/531,743						
INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING				_	PRIORITY DATE CLAIMED					
TITI F	OF	PCT/EP03/11586	10/20/200	3	10/18/2002					
1111	TITLE OF INVENTION PROCESS FOR THE CONTINUOUS MANUFACTURE OF TUBULAR MEMBRANE									
APPL	APPLICANT(S) FOR DO/EO/US									
Applic	Hartmut E. A. Bruschke; Nicholas Patrick Wynn; Frank-Klaus M  Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:									
1. [	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.									
2. [	$\boxtimes$	This is a SECOND or SUBSEQUENT s			er 35 U.S.C. 371.					
з. [		This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.								
4. [		The US has been elected (Article 31).								
5.		A copy of the International Application	as filed (35 U.S.C. 371(c)(2))							
		a. is attached hereto (required o	only if not communicated by th	e International Bure	au).					
		b. has been communicated by t	the International Bureau.							
		c. is not required, as the applica	ation was filed in the United St	ates Receiving Offic	e (RO/US).					
6. [	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).									
		a. is attached hereto.								
		b. has been previously submitted	ed under 35 U.S.C. 154(d)(4).		·					
7. [		Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))								
		a. are attached hereto (required only if not communicated by the International Bureau).								
		b. have been communicated by the International Bureau.								
		have not been made; however, the time limit for making such amendments has NOT expired.								
_	_	d. have not been made and will	not be made.							
8.		An English language translation of the	amendments to the claims und	ler PCT Article 19 (3	35 U.S.C. 371(c)(3)).					
9. [		An oath or declaration (Executed) of the	ne inventor(s) (35 U.S.C. 371(c	;)(4)).						
10.	_	An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	annexes of the International P	reliminary Examinati	ion Report under PCT					
II	tem	s 11 to 20 below concern document(s	s) or information included:							
11.		An Information Disclosure Statement un	nder 37 CFR 1.97 and 1.98.							
12.	$\boxtimes$	An assignment document for recording	g. A separate cover sheet in co	mpliance with 37 CI	FR 3.28 and 3.31 is included.					
13.	A preliminary amendment.									
14.	An Application Data Sheet under 37 CFR 1.76.									
15.	A substitute specification.									
16.	_	A power of attorney and/or change of address letter.								
17.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.								
18.	_]	A second copy of the published Interna	ational Application under 35 U.	S.C. 154(d)(4).						
19. [		A second copy of the English language	translation of the international	application under 3	5·U.S.C. 154(d)(4).					

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mall Stop PCT, Commissioner for Patents. P.O. Box 1450, Alexandria, VA 23313-1450. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO-1390 (Rev. 02-2005) Approved for use through 3/31/2007. OMB 0651-0021

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO. (If known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY DOCKET NUMBER 10/531,743 PCT/EP03/11586 **BPD-100US** 20. Other items or information: 1) Credit Card Payment Form 2) Copy of Notification of Missing Requirements 3) Petition for Extension of Time in Duplicate 4) Executed Assignment 5) Assignment Recordation Cover Sheet 6) Certificate of Express Mail Return Receipt Postcard The following fees have been submitted **CALCULATIONS** PTO USE ONLY \$ 22. Examination Fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared \$ by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) .....\$0 All other situations ......\$200 If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)......\$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an \$ International Search Authority......\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated by the US by the IB ......\$400 All other situations .......\$500 TOTAL OF 21, 22 and 23 = \$ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction **Total Sheets** Extra Sheets RATE thereof (round up to a whole number) -100 =/50 =x \$250 Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration \$ 130 after the date of commencement of the national stage (37 CFR 1.492(h)) NUMBER EXTRA **CLAIMS** NUMBER FILED RATE **Total Claims** - 20 = x \$50 \$ Independent Claims - 3 = x \$200 \$ MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360 \$ **TOTAL OF ABOVE CALCULATIONS =** \$ Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. \$ \$ 130 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(i)). TOTAL NATIONAL FEE = \$130 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied \$ 40 by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property Other: Petition for Extension of Time (3 months) \$1,020 02/24/2006 LLANDGRA 00000076 10531743 TOTAL FEES ENCLOSED = \$1,190 130.00 OP 01 FC:1617 Amount to be \$ 02 FC:1253 1020,00 OP refunded: Amount to be

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

a. [	A check in the a	amount of \$ to cover the	above fees is enclos	sed.			
ь. [	Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.						
с.	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 18-0350. A duplicate copy of this sheet is enclosed.						
d. (	Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
K-7	O ALL CORRESPON	DENCE TO:	1	SIGNATURE	8		
_	OR	Box 980, Valley Forge, PA	J 19482	•	Rex A. Donnelly		
	RatnerPrestia, P.O. I	Box 1596, Wilmington, DE			41,712 REGISTRATION NUMBER		
Date:	February 22, 20	06					

# CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Hartmut E. A. Bruschke et al. Serial No. Filling Date Examiner Group Art Unit 10/531,743 April 18, 2005 Darrell C. Cottman Unknown

Invention: PROCESS FOR THE CONTINUOUS MANUFACTURE OF TUBULAR MEMBRANE

I hereby certify that the following correspondence:

Transmittal Letter Under 35 U.S.C. 371

Copy of Notification of Missing Requirements

**Assignment Recordation Cover Sheet** 

**Executed Assignment** 

Petition for Extension of Time in Duplicate

Oath, Declaration and Power of Attorney

Certificate of Express Mail

Form PTO 2038

Return Receipt Postcard

(Identify type of correspondence)

Is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to:

Mail Stop: PCT,

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on February 22, 2006

Gayle D. Bay

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

EV 333299 152 US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.





# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Ext 1450 Alexandria, Viginia 22313-1450 www.uppto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/531,743

Hartmut E A Bruschke

BPD-100US

INTERNATIONAL APPLICATION NO.

PCT/EP03/11586

I.A. FILING DATE

PRIORITY DATE

10/20/2003

10/18/2002

CONFIRMATION NO. 3457 **371 FORMALITIES LETTER** 

\*OC000000017045161\*

23122 RATNERPRESTIA P O BOX 980 VALLEY FORGE, PA 19482-0980



Date Mailed: 09/22/2005

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

Copy of the International Application filed on 04/18/2005

English Translation of the IA filed on 04/18/2005

Copy of the International Search Report filed on 04/18/2005

• Copy of IPE Report filed on 04/18/2005

Preliminary Amendments filed on 04/18/2005

Information Disclosure Statements filed on 08/02/2005

Oath or Declaration filed on 04/18/2005

Request for Immediate Examination filed on 04/18/2005

- U.S. Basic National Fees filed on 04/18/2005
- Priority Documents filed on 04/18/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.





### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

### DARRELL C COTTMAN

Telephone: (703) 308-9140 EXT 203

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.	
10/531,743	PCT/EP03/11586	BPD-100US	

FORM PCT/DO/EO/905 (371 Formalities Notice)